

the full amount of said payment obligation, by the sum total of any order of restitution and/or forfeiture entered against Defendant in *United States v. Farmer, et al.*, Crim. No. 4:16-cr-00408 (S.D. Tex.).

- b. **Eddie D. Austin, Jr.:** Jointly and severally with Carolyn P. Austin, pay disgorgement in the amount of \$3 million, plus prejudgment interest thereon in the amount of \$276,076.29, for a total of \$3,276,076.29. The \$3,276,076.29 payment obligation shall be offset, up to the full amount of said payment obligation, by the sum total of any order of restitution and/or forfeiture entered against Defendant and/or Carolyn P. Austin in *United States v. Farmer, et al.*, Crim. No. 4:16-cr-00408 (S.D. Tex.).
- c. **Scott R. Sieck:** Pay disgorgement in the amount of \$2,750,000, plus prejudgment interest thereon in the amount of \$209,796.43, for a total of \$2,959,796.43. The \$2,959,796.43 payment obligation shall be offset, up to the full amount of said payment obligation, by the sum total of any order of restitution and/or forfeiture entered against Defendant in *United States v. Farmer, et al.*, Crim. No. 4:16-cr-00408 (S.D. Tex.).
- d. **Carolyn P. Austin:** Jointly and severally with Eddie D. Austin, Jr., pay disgorgement in the amount of \$3 million, plus prejudgment interest thereon in the amount of \$276,076.29, for a total of \$3,276,076.29. The \$3,276,076.29 payment obligation shall be offset, up to the full amount of said payment obligation, by the sum total of any order of restitution and/or forfeiture entered against Defendant and/or Eddie D. Austin, Jr. in *United States v. Farmer, et al.*, Crim. No. 4:16-cr-00408 (S.D. Tex.).

- e. **John D. Brotherton:** Pay disgorgement in the amount of \$1,500,000, plus prejudgment interest thereon in the amount of \$133,538.16, for a total of \$1,633,538.16. The \$1,633,538.16 payment obligation shall be offset, up to the full amount of said payment obligation, by the sum total of any order of restitution and/or forfeiture entered against Defendant in *United States v. Farmer, et al.*, Crim. No 4:16-cr-00408 (S.D. Tex.).

3. Defendants have each executed the respective Consents and agree to the form of the respective proposed Final Judgments. A copy of each Consent is attached hereto as Exhibits A-E and a copy of each of the Judgments is attached hereto as Exhibits F-J.

4. The Commission respectfully requests that the Court enter the Final Judgments as to all Defendants.

Dated: May 15, 2019

Respectfully submitted,

s/ Matthew J. Gulde

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CERTIFICATE OF SERVICE

I hereby certify that on May 15, 2019, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system, which will send notification of the filing to all counsel who have registered with the Court. Additionally, I have caused a true copy thereof to be delivered by UPS, next day air, addressed to:

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